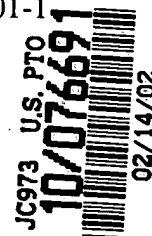


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PATENT
Attorney Docket No.: 016930-000630US
Client Reference No.: 3001-1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael H. Shepard et al.

Application No.: Unassigned

Filed: Herewith

For: METHOD FOR SELECTIVELY
TRANSDUCING PATHOLOGIC
MAMMALIAN CELLS USING A
TUMOR SUPPRESSOR GENE

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

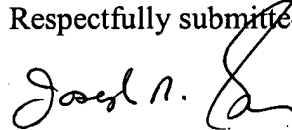
Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are not enclosed, since they were cited in the parent case, U.S. Application No. 08/403,797. Enclosed is a copy of the Search/Examination report corresponding to the PCT application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Joseph R. Snyder
Reg. No. 39,381

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Complete if Known

Application Number	08/403,797
Filing Date	December 4, 1995
First Named Inventor	Shepard, H. Michael
Group Art Unit	1633
Examiner Name	Dave Nguyen
Attorney Docket Number	016930-000600US

Sheet	1	of	5
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UC973 U.S. PTO
10/076691
02/14/02

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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	7	PCT	WO 91/15580		10/17/1997			
	8	PCT	WO 93/10814		06/10/1993			
	9	EP	0 475 623 AI		08/23/1991			
	10	PCT	93/08844		09/17/1993			

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 5

Complete if Known

Application Number	08/403,797
Filing Date	December 4, 1995
First Named Inventor	Shepard, H. Michael
Group Art Unit	1633
Examiner Name	Dave Nguyen
Attorney Docket Number	016930-000600US

10/01/97
02/14/02
JC973 U.S. PTO

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	11	Anderson, "Human Gene Therapy," <i>Science</i> , 256:808-813 (1992)	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	08/403,797
		Filing Date	December 4, 1995
		First Named Inventor	Shepard, H. Michael
		Group Art Unit	1633
		Examiner Name	Dave Nguyen
Sheet	3	of	5
		Attorney Docket Number	016930-000600US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	26	Guild et al., "Retroviral transfer of a murine cDNA for multidrug resistance confers pleiotropic drug resistance to cells without prior drug selection," <i>Proc. Natl. Acad. Sci. (USA)</i> , 85:1595-1599 (1988)	
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Application Number	08/403,797
Filing Date	December 4, 1995
First Named Inventor	Shepard, H. Michael
Group Art Unit	1633
Examiner Name	Dave Nguyen
Attorney Docket Number	016930-000600US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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	41	Shaw et al., "Induction of apoptosis by wild-type p53 in a human colon tumor-derived cell line," <i>Proc. Natl. Acad. Sci. (U.S.A.)</i> , 89 :4495-4499 (1992)	
	42	Sikora, K., "Gene therapy for cancer," <i>TIBTECH</i> , 11 :197-201 (1993)	
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	52	Chen et al., <i>Oncogene</i> , 6 :1799-1805 (1991)	
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Application Number	08/403,797
Filing Date	December 4, 1995
First Named Inventor	Shepard, H. Michael
Group Art Unit	1633
Examiner Name	Dave Nguyen
Attorney Docket Number	016930-000600US



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	56	Culver et al., "Gene therapy for solid tumors," <i>British Medical Bulletin</i> , 51:192-204 (1995)	
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